

MR. LYNN G. FOSTER - registration 21189  
Address all phone calls to Lynn G. Foster Phone No. (801) 364-5633  
Address all correspondence to \_\_\_\_\_  
\_\_\_\_\_ MR. LYNN G. FOSTER  
\_\_\_\_\_ 602 East 300 South  
\_\_\_\_\_ Salt Lake City, Utah 84102

**BEST AVAILABLE COPY**

093095-01

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor Mark Plaia  
Inventor's signature [Signature] Date June 4, 1993  
Residence Tigard, Oregon Citizenship USA  
Post Office Address 9740 SW McDonald Street  
Tigard, Oregon 97224

Full name of second or joint inventor, if any Vincent A. Reger

Second inventor's signature [Signature] Date 6-4-93  
Residence Portland, Oregon Citizenship USA  
Post Office Address 8030-L Brookridge Street  
Portland, Oregon 97225

Full name of third or joint inventor, if any Gregory N. Nordgren

Third inventor's signature [Signature] Date 6-4-93  
Residence Wilsonville, Oregon Citizenship USA  
Post Office Address 29670 Jackson Way  
Wilsonville, Oregon 97070

104280-2888660

00000000000000000000000000000000

As a below named inventor, I hereby declare that:

I believe I am the original, first and sole inventor (if only one name is listed below) or an original and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which patent is sought on the invention entitled ANTI-STENOTIC METHOD AND PRODUCT FOR OCCLUDED AND PARTIALLY OCCLUDED ARTERIES.

X was filed on June 7, 1993 as Application Serial No  
08/073,002, and was amended on \_\_\_\_\_

(if applicable).

I acknowledge the duty to disclose information which is material to the examination of this application, including the claims, as amended by any amendment referred to above.

**Prior Foreign Application(s)**

(Number)	(Country)	(Day/Mo./Yr. Filed)	Yes	No
(Number)	(Country)	(Day/Mo./Yr. Filed)	Yes	No
(Number)	(Country)	(Day/Mo./Yr. Filed)	Yes	No

(Application Serial No.)	(Filing Date)	(Patented, Pending, Abandoned)

(Application Serial No.)	(Filing Date)	(Patented, Pending, Abandoned)

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Mr. Lynn G. Foster, Registration No. 21,189.

Address all phone calls to Lynn G. Foster, (801) 364-5633.

Address all correspondence to:

Lynn G. Foster  
FOSTER & FOSTER  
602 East 300 South  
Salt Lake City, Utah 84102

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor Mark Plaia

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence Tigard, Oregon

Citizenship USA

Post Office Address: 9740 SW McDonald Street, Tigard, Oregon 97224

Full name of sole or first inventor Vincent A. Reger

Inventor's signature Vincent A. Reger Date 11/19/95

Residence Portland, Oregon

Citizenship USA

Post Office Address: 8030-L Brookridge Street, Portland, Oregon 97225

Full name of sole or first inventor Gregory N. Nordgren

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence Wilsonville, Oregon

Citizenship USA

Post Office Address: 29670 Jackson Way, Wilsonville, Oregon 97070

09938882 082401 104280 2888660

Applicant or Patentee: Mark Plaia, et. al.

Attorney's

Serial or Patent No.: \_\_\_\_\_

Docket No.: 5770

Filed or Issued: \_\_\_\_\_

For: ANTI-STENOTIC METHOD AND PRODUCT FOR OCCLUDED AND PARTIALLY  
OCCLUDED ARTERIES

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9(f) and 1.27(b)) - INDEPENDENT INVENTOR

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled ANTI-STENOTIC METHOD AND PRODUCT FOR  
OCCLUDED AND PARTIALLY OCCLUDED ARTERIES  
described in

☒ the specification filed herewith

☐ application serial no. \_\_\_\_\_, filed \_\_\_\_\_

☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

☒ no such person, concern, or organization

☐ persons, concerns or organizations listed below \*

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ INDIVIDUAL

☐ SMALL BUSINESS CONCERN

☐ NONPROFIT ORGANIZATION

FULL NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ INDIVIDUAL

☐ SMALL BUSINESS CONCERN

☐ NONPROFIT ORGANIZATION

FULL NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ INDIVIDUAL

☐ SMALL BUSINESS CONCERN

☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Mark Plaia

Vincent A. Reger

Gregory N. Nordgren

NAME OF INVENTOR

NAME OF INVENTOR

NAME OF INVENTOR

NAME OF INVENTOR

Signature

Signature

Signature

Signature

Date

Date

Date

Date

June 2, 1993

6-2-93

Applicant or Patentee: M. Plaia, et. al.

Serial or Patent No.: \_\_\_\_\_

Filed or Granted: \_\_\_\_\_

5770

Title: ANTI-STENOTIC METHOD AND PRODUCT FOR  
OCCLUDED AND PARTIALLY OCCLUDED ARTERIES

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) & 1.27(c))—SMALL BUSINESS CONCERN

I hereby declare that I am

☐ the owner of the small business concern identified below:

☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN EndoVascular Instruments, Inc.

ADDRESS OF SMALL BUSINESS CONCERN 14945 SW Sequoia Parkway, Suite 180  
Portland, Oregon 97224

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled ANTI-STENOTIC METHOD AND PRODUCT FOR OCCLUDED  
AND PARTIALLY OCCLUDED ARTERIES by inventors Mark Plaia, Vincent A.  
Reger, and Gregory N. Nordgren, as described in

☒ the specification filed herewith

☐ application serial no. \_\_\_\_\_, filed \_\_\_\_\_

☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e). \*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention availing to their status as small entities. (37 CFR 1.27)

NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ INDIVIDUAL

☐ SMALL BUSINESS CONCERN

☐ NONPROFIT ORGANIZATION

NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ INDIVIDUAL

☐ SMALL BUSINESS CONCERN

☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Thomas A. Wiita

TITLE OF PERSON IF OTHER THAN OWNER Acting President and CEO

ADDRESS OF PERSON SIGNING 14945 SW Sequoia Parkway, Suite 180  
Portland, Oregon 97224

SIGNATURE Te Wiita

DATE 6/2/93

09938882-082401

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION SERIAL NO.:  
 FILING DATE: ..... Unknown  
 APPLICANT: ..... Filed Herewith  
 PROBABLE GROUP ART UNIT: ..... Mark Plaia, et al.  
 PROBABLE EXAMINER: ..... 3308  
 ATTORNEY'S DOCKET NO.: ..... R. Clarke  
 TITLE: ..... 5770  
 "ANTI-STENOTIC METHOD AND PRODUCT FOR  
 OCCLUDED AND PARTIALLY OCCLUDED ARTERIES"

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS**  
**(37 CFR 1.9(c) and 1.27(b)) - INDEPENDENT INVENTOR**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled "ANTI-STENOTIC METHOD AND PRODUCT FOR OCCLUDED AND PARTIALLY OCCLUDED ARTERIES" described in application Serial No. 08/073,002, filed June 7, 1993.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights to the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ no such person, concern, or organization  
☐ persons, concerns or organizations listed below

Note: Separate verified statements are required from each named individual, concern or organization having right to the invention averring to their status as small entities (37 CFR 1.27)

NAME:

ADDRESS:

- ☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Mark Plaia  
 Name of Inventor

Vincent A. Reger  
 Name of Inventor

Gregory N. Nordgren  
 Name of Inventor

\_\_\_\_\_  
 Signature of Inventor

Vincent A. Reger  
 Signature of Inventor

\_\_\_\_\_  
 Signature of Inventor

\_\_\_\_\_  
 Date

11/19/95  
 Date

\_\_\_\_\_  
 Date

09030002-000401

A S S I G N M E N T

We, MARK PLAIA of 9740 SW McDonald St., Tigard, OR 97224,  
Vincent H. Reger of 8030-L, Brookridge St., Portland, OR 97225,  
U.S.A., and Gregory N. Nordgren  
of 29670 Jackson Way, Wilsonville, OR 97070  
U.S.A., have invented a ANTI-STENOTIC METHOD AND PRODUCT  
FOR OCCLUDED AND PARTIALLY OCCLUDED ARTERIES  
hereinafter called the "invention."

Preferred embodiments of said invention are disclosed in a United States patent application heretofore executed by us and now identified as file N 5770 of Lynn G. Foster, Patent Attorney, (U.S. Patent Office Registration No. 21189) and filed in the United States Patent Office as Serial No. 08/073,002 on June 7, 1993.

(We hereby authorize Lynn G. Foster to insert said Serial No. and filing date when known.)

The Assignee, EndoVascular Instruments, Inc.  
14945 SW Sequoia Parkway, Suite 180  
Portland, Oregon 97224  
desires to secure the entire right, title and interest in said invention.

In consideration of \$1.00 and other good and valuable considerations paid to us by the Assignee, the receipt and sufficiency of which we hereby acknowledge, WE HEREBY ASSIGN TO THE ASSIGNEE:

The entire right, title and interest in said invention and in the above-identified United States Patent application and in all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of Letters Patent or Patents granted thereon, and in all corresponding applications filed in countries foreign to the United States, and in all patents issuing thereon in the United States and foreign countries.

The right to file foreign patent applications on said invention in its own name, wherever such right may be legally exercised, including the right to claim the benefits of the International Convention for such applications.

We hereby authorize and request the United States Commissioner of Patents and such Patent Office Officials in foreign countries as are duly authorized by their patent laws to issue patents, to issue any and all patents on said invention to the Assignee as the owner of the entire interest, for the sole use and behoof of the said Assignee, its successors, assigns and legal representatives.

We hereby agree, without further consideration and without expense to us, to sign all lawful papers and to perform all other lawful acts which the Assignee may request of us to make this assignment fully effective, including, by way of example but not of limitation, the following:

Prompt execution of all original, divisional, substitute, reissue, and other United States and foreign patent applications on said invention, and all lawful documents requested by the Assignee to further the prosecution of any of such patent applications.

09938882-082401

REEL 1391 FRAME 226



Signed

Mark Plata  
MARK PLATA

(L.S.)

State of OREGON)

ss.

County of washington)

On June 2, 1993, before me personally appeared MARK PLATA known to me to be the person described and who signed the annexed assignment in my presence and acknowledged under oath before me that he has read the same and knows the contents thereof and that he executed the same as his free act and deed and for the purposes set forth therein.



Joanne Collins  
Notary Public

(SEAL)

Signed

Vincent A. Reger  
VINCENT A. REGER

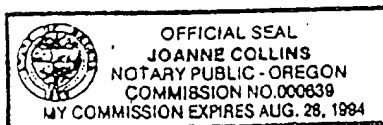
(L.S.)

State of OREGON)

ss.

County of washington)

On June 2, 1993, Before me personally appeared VINCENT A. REGER known to me to be the person described and who signed the annexed assignment in my presence and acknowledged under oath before me that he has read the same and knows the contents thereof and that he executed the same as his free act and deed and for the purposes set forth therein.



Joanne Collins  
Notary Public

(SEAL)

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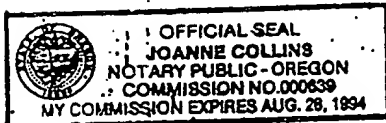
Signed *Gregory N. Nordgren* (L.S.)  
GREGORY N. NORDGREN

State of OREGON)

ss.

County of Washington)

On June 2, 19 93, before me personally  
appeared GREGORY N. NORDGREN known to me to be the  
person described and who signed the annexed assignment in my  
presence and acknowledged under oath before me that he has  
read the same and knows the contents thereof and that he  
executed the same as his free act and deed and for the purposes  
set forth therein.



*Joanne Collins*  
Notary Public

RECORDED  
PATENT & TRADEMARK OFFICE

MAR 16 95

(SEAL)

Signed \_\_\_\_\_ (L.S.)

State of \_\_\_\_\_)

ss.

County of \_\_\_\_\_)

On \_\_\_\_\_, 19 \_\_, Before me personally  
appeared \_\_\_\_\_ known to me to  
be the person described and who signed the annexed assignment  
in my presence and acknowledged under oath before me that he  
has read the same and knows the contents thereof and that he  
executed the same as his free act and deed and for the purposes  
set forth therein.

\_\_\_\_\_  
Notary Public

(SEAL)

09938822-082401

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